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ATTORNEY'S DOCKET NO: E00378.70179/JHM/DPM

2661
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James Richmond et al.
Serial No: 10/071,873
Filed: February 8, 2002
For: CONTROLLING USAGE OF NETWORK RESOURCES BY A USER AT
THE USER'S ENTRY POINT TO A COMMUNICATIONS NETWORK
BASED ON AN IDENTITY OF THE USER
Confirm. No. 8737
Examiner: Not yet assigned
Art Unit: 2661

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 30 day of May, 2002.


Signature

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 with cited references
- ☒ Return Receipt Postcard

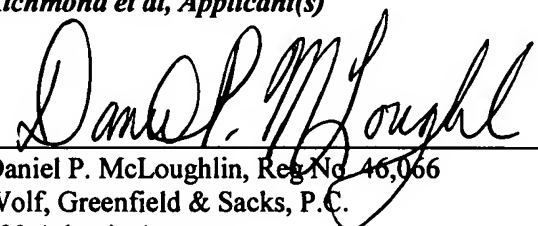
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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. If a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 50/1127. A duplicate of this sheet is enclosed.

Respectfully submitted,
Richmond et al, Applicant(s)

By:


Daniel P. McLoughlin, Reg No. 46,066
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. E00378.70179/JHM/DPM
Dated: May 30, 2002
xNDDx



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Commissioner for Patents
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

Serial No.	Filing Date	Title of Application	Attorney Dock. No.
10/071,228	02/08/02	CREATING, MODIFYING AND STORING SERVICE ABSTRACTIONS AND ROLE ABSTRACTIONS REPRESENTING ONE OR MORE PACKET RULES	E00378/70181/JHM/DPM

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

The copy of "Enterasys User Personalized Network" (reference cite No. 1 on Form PTO-1449) submitted herewith does not indicate the date of publication or the author of the reference. Reference cite No. 1 was authored by Applicant Steven Pettit and was first publicly accessible on February 12, 2001 at <http://www.enterasys.com/products/whitepapers/> a URL at which it is still publicly accessible as of the date of the filing of this IDS.

The copy of "Layer 2/3/4 Frame Classification Primer" (reference cite No. 2 on Form PTO-1449) submitted herewith does not indicate the author of the reference or the date on which the reference was published. To the best of Applicant's knowledge, reference cite No. 2 was first publicly accessible some time in May of 1999, and is publicly accessible today at <http://www.enterasys.com/products/whitepapers/>.

Although "Port Based Network Access Control" (reference cite No. 6) indicates a date of March 2000, Applicant is not certain of the date on which this reference became publicly accessible. Reference cite No. 6 is publicly accessible at <http://www.ieee802.org/1/mirror/8021/docs2000> as of the date of filing of this IDS.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Richmond et al, Applicant(s)

By: 

Daniel P. McLoughlin, Reg. No. 46,066
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. E00378.70179/JHM/DPM

Dated: May ³⁰, 2002

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